



FILE NO.: AP33567 - 070457.1062

PATENT

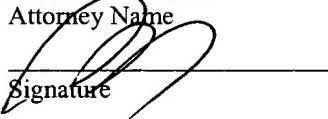
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kranzley *et al.*  
Serial No. : 09/963,274 Examiner: Not Yet Assigned  
Filed : September 26, 2001 Group Art Unit: 2165  
For : A UNIVERSAL AND INTEROPERABLE SYSTEM AND METHOD UTILIZING A UNIVERSAL CARDHOLDER AUTHENTICATION FIELD (UCAF) FOR AUTHENTICATION DATA COLLECTION AND VALIDATION

INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

April 23, 2003  
Date of Deposit  
Robert C. Scheinfeld  
Attorney Name  
  
Signature

31,300  
PTO Registration No.  
April 23, 2003  
Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

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In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants enclose herewith references for consideration by the Patent and Trademark Office with respect to the above-identified application. Also enclosed is Form PTO-1449 listing the enclosed references.

Applicants request that the listed documents be considered and made of record in the application if appropriate.

1. U.S. Publication 2002/0052784 A1 to Sherwin *et al.*, issued May 2, 2002;

2. U.S. Publication 2002/0046169 A1 to Keresmann, III *et al.*, issued April 18, 2002;
3. U.S. Patent 6,370,514 B1 to Messner, issued April 9, 2002;
4. U.S. Publication 2002/0032663 A1 to Messner, issued March 14, 2002;
5. U.S. Publication 2001/0051902 A1 to Messner, issued December 13, 2001;
6. U.S. Publication 2001/0047335 A1 to Arndt *et al.*, issued November 29, 2001;
7. U.S. Publication 2001/0047281 A2 to Keresman, III *et al.*, issued November 29, 2001;
8. U.S. Publication 2001/0037451 A1 to Bhagavatula *et al.*, issued November 1, 2001;
9. U.S. Patent 5,903,878 to Talati *et al.*, issued May 11, 1999;
10. WO 02/15077 A1 published 21.02.2002;
11. WO 01/84509 A2 published 08.11.2001;
12. WO 01/67215 A2 published 13.09.2001;
13. WO 01/26062 A1 published 12.04.2001;
14. WO 01/07873 A2 published 01.02.2001;
15. WO 01/01622 A2 published 04.01.2001;
16. AU 6053700 Australian Patent. (**Applicants are trying to obtain a copy of this reference.**)

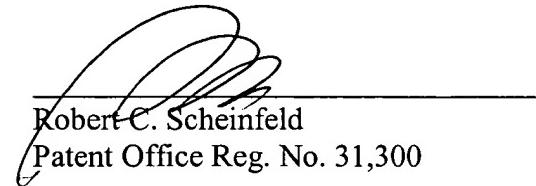


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The Commissioner is hereby authorized to charge payment of any additional fee

to Deposit Account No. 02-4377.

Respectfully submitted,



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Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office		Atty. Docket No. AP33567 - 070457.1062	Serial No. 09/963,274
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			
(Use several sheets if necessary)			
		Filing Date September 26, 2001	Group 2165
		Examiner To Be Assigned	

**FOREIGN PATENT DOCUMENTS**

No.	Document No.	Date	Country	Class	Subclass	Translation Yes      No
12.	WO 01/67215 A2	13.09.2001	Int'l WIPO	G06F	1/00	
13.	WO 01/26062 A1	12.04.2001	Int'l WIPO	G07F	7/10	
14.	WO 01/07873 A2	01.02.2001	Int'l WIPO	G01F	7/00	
15.	WO 01/01622 A2	04.01.2001	Interesting'l WIPO	H04L		
16.	AU 6053700					

No.	OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

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**GROUP 3600**

NY02:438209.1

Examiner

Date Considered

\* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office		Atty. Docket No. AP33567 - 070457.1062	Serial No. 09/963,274
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		Applicant Kranzley <i>et al.</i>	
		Filing Date September 26, 2001	Group 2165
		Examiner To Be Assigned	

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U. S. PATENT & TRADEMARK OFFICE 963

**U.S. PATENT DOCUMENTS**

*Exam. Init.	No.	Document No.							Date	Name	Class	Subclass	Filing Date if Appro.
	1.	0	0	5	2	7	8	4	05/02/02	Sherwin <i>et al.</i>	705	14	
	2.	0	0	4	6	1	6	9	04/18/02	Keresman, III <i>et al.</i>	705	41	
	3.	6	3	7	0	5	1	4	04/09/02	Messner	705	14	
	4.	0	0	3	2	6	6	3	03/14/02	Messner	705	72	
	5.	0	0	5	1	9	0	2	12/13/01	Messner	705	26	
	6.	0	0	4	7	3	3	5	11/29/01	Arndt <i>et al.</i>	705	44	
	7.	0	0	4	7	2	8	1	11/29/01	Keresman, III <i>et al.</i>	705	2	
	8.	0	0	3	7	4	5	1	11/01/01	Bhagavatula <i>et al.</i>	713	155	
	9.	5	9	0	3	8	7	8	05/11/99	Talati <i>et al.</i>	705	26	

**FOREIGN PATENT DOCUMENTS**

	No.	Document No.	Date	Country	Class	Subclass	Translation Yes      No
	10.	WO 02/15077 A1	21.02.2002	Int'l WIPO	G06F	17/60	
	11.	WO 01/84509 A2	08.11.2001	Int'l WIPO	G07F		

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Examiner

Date Considered

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